

# Synchronizing and Damping Torques Analysis of Nonlinear Voltage Regulators

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**Abstract**—This paper makes an attempt to assess the benefits of replacing a conventional generator excitation system (AVR + PSS) with a nonlinear voltage regulator using the concepts of synchronizing and damping torque components in a single machine infinite bus (SMIB) system. In recent years, there has been considerable interest in designing nonlinear excitation controllers, which are expected to give better dynamic performance over a wider range of system and operating conditions. The performance of these controllers is often justified by simulation studies on few test cases which may not adequately represent the diverse operating conditions of a typical power system. The performance of two such nonlinear controllers which are designed based on feedback linearization and include automatic voltage regulation with good dynamic performance have been analyzed using an SMIB model. Linearizing the nonlinear control laws along with the SMIB system equations, a Heffron Phillip's type of a model has been derived. Concepts of synchronizing and damping torque components have been used to show that such controllers can impair the small signal stability under certain operating conditions. This paper shows the possibility of negative damping contribution due to nonlinear voltage regulators and gives a new insight on understanding the physical impact of complex nonlinear control laws on power system dynamics.

**Index Terms**—Feedback linearization, power system stabilizers, small-signal stability, transient stability.

## I. INTRODUCTION

IN recent years, there has been considerable interest in designing nonlinear excitation controllers, which are expected to give better dynamic performance over a wider range of system and operating conditions. Several nonlinear control techniques such as feedback linearization, back stepping, variable structure control, synergy control theory, etc. [1]–[11] have been used for excitation system design. These controllers aim to replace the existing AVR + PSS structure, but the benefits of this replacement have not been properly quantified. This paper is an attempt in this direction, that is, to assess the merits and demerits of replacing the AVR + PSS structure with feedback linearization based voltage regulators in a single machine infinite bus system (SMIB).

Feedback linearization (FBL) technique has been widely used for generator excitation system design [2]–[4], [6], [7], [12]–[17]. Most of these nonlinear control designs based on

FBL have formulated the excitation control problem as a regulator problem [17] and terminal voltage regulation was not considered in the design objective. This paper focuses on nonlinear voltage regulators because fast voltage regulation, though improves transient stability, has conflicting nature with the small signal stability [18] improvement. References [6] and [7] are two earlier designs which consider the excitation system design, with terminal voltage regulation as the objective. This paper analyzes these two nonlinear voltage regulators which are designed based on two different approaches of feedback linearization.

The terminal voltage of the machine is taken as output to derive the control law in [6] using Input-Output feedback linearization approach [19], for a single machine infinite bus system. In [7], the nonlinear control law is obtained by canceling the nonlinearities present in the derivative of active power equation of SMIB system. A robust linear controller is then designed by taking terminal voltage, speed and power as state variables. To analyze the small signal behavior of these voltage regulators over a wide operating range, the nonlinear control laws are linearized around an operating point. It has been observed that the linearized form of these nonlinear controllers has the same structure as that of a high gain static automatic voltage regulator but contains an additional positive feedback term from the speed deviations which we call as damping term because it acts like a stabilizing signal. Synchronizing and damping torque coefficients are derived from the linearized model of SMIB system including these nonlinear voltage regulators.

For the controller in [6], it is observed that under weak system conditions, the value of the damping term significantly reduces and the response becomes more oscillatory. It is also observed that the damping torque coefficient decreases with the increase in equivalent reactance as well as the system loading, and it becomes negative as the system becomes weak. It is shown that the effectiveness of this nonlinear AVR is usually better than that of a static AVR over a wide range but not as satisfactory as the performance of an AVR and PSS combination. However, for the controller in [7], the damping term and the damping torque coefficient increase with increase in equivalent reactance and loading as opposed to the controller in [6]. It has been shown that the nonlinear voltage regulator proposed in [7] can successfully replace the AVR + PSS structure for the SMIB test system considered over a wide range of operating conditions.

## II. MODELING OF POWER SYSTEM

IEEE Model 1.0 [20], [21] has been used to represent the synchronous generator in [6] and [7]. This results in a third-

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order dynamic model for the SMIB power system. The dynamic equations of SMIB system used in [6] and [7] are as follows:

$$\dot{\delta} = \omega_B S_m \quad (1)$$

$$\dot{S}_m = \frac{1}{2H} \{T_{\text{mech}} - T_{\text{elec}} - DS_m\} \quad (2)$$

$$\dot{E}'_q = \frac{1}{T'_{do}} \{-E'_q + (X_d - X'_d)i_d + E_{fd}\} \quad (3)$$

$$T_{\text{elec}} = E'_q i_q + (X'_d - X_q)i_d i_q. \quad (4)$$

The variables have standard meaning [21] and they are defined in the Appendix. Here the control variable is the field voltage  $E_{fd}$ . The objective is to design a nonlinear control law for  $E_{fd}$  to get proper voltage regulation, i.e., terminal voltage  $V_t \rightarrow V_{\text{ref}}$  at a prespecified voltage.

### III. NONLINEAR VOLTAGE REGULATOR DESIGN PROPOSED IN [6]

In [6], the state variables for SMIB are defined as  $x = \{\delta, S_m, E'_q\}$ . Derivatives of the output  $V_t$  are taken successively until the control input  $E_{fd}$  appears in the equation. In this case, relative degree is one. Neglecting  $R_a$ , the terminal voltage  $V_t$  for an SMIB system is given by

$$V_t = \sqrt{\left[ \left( \frac{X_q E_b \sin \delta}{X_e + X_q} \right)^2 + \left( \frac{X'_d E_b \cos \delta + X_e E'_q}{X_e + X'_d} \right)^2 \right]} \quad (5)$$

taking derivative once we get

$$\dot{V}_t = \underbrace{\left\{ \frac{1}{\sqrt{V_t}} (V_d C_{11} \omega_B S_m \cos \delta - \dot{\delta} V_q C_{22} E_b \sin \delta) + \frac{1}{\sqrt{V_t}} \frac{V_q C_{33}}{T'_{do}} (-E'_q + (X_d - X'_d)i_d) \right\}}_{f(x)} + \underbrace{\left\{ \frac{1}{\sqrt{V_t}} \frac{V_q C_{33}}{T'_{do}} \right\}}_{g(x)} E_{fd} \quad (6)$$

where

$$C_{11} = \frac{X_q}{X_e + X_q}, \quad C_{22} = \frac{X'_d}{X_e + X'_d} \quad (7)$$

$$C_{33} = \frac{X_e}{X_e + X'_d}.$$

Equation (6) can be written in control affine form as

$$\dot{V}_t = f(x) + g(x)u. \quad (8)$$

Defining the tracking error as  $e = V_t - V_{\text{ref}}$ , a fixed gain parameter  $K_v > 0$  has been chosen so that the error dynamics

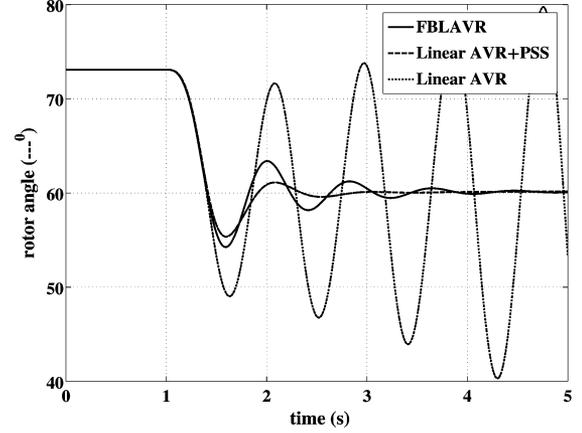


Fig. 1.  $\delta$  response, 10% step change in  $V_{\text{ref}}$ .

$\dot{e} + K_v e = 0$  as  $t \rightarrow \infty$ . By solving the error dynamics equation, the following control law for field voltage  $E_{fd}$  has been obtained:

$$E_{fd} = \frac{T'_{do}}{C_3 V_q} \left[ \frac{-K_v (V_t - V_{\text{ref}}) \sqrt{V_t} - (V_d C_{11} \cos \delta \omega_B)}{S_m E_b + V_q C_{22} E_b \sin \delta \omega_B S_m} \right] + E'_q - (X_d - X'_d)i_d. \quad (9)$$

It is to be noted that  $K_v$  is the only gain parameter that needs to be tuned for improvement in the performance. This control law gives the necessary closed-loop control which, when applied, causes the output, terminal voltage, of the machine to behave linearly [6].

#### A. Simulation Results

The performance of the nonlinear AVR originally proposed in [6] has been extensively evaluated on an SMIB system used in [18] (machine data given in [18] for steam input is used here). Several operating conditions are created to test the performance of the proposed voltage regulator by varying  $X_e$  from 0.2 p.u. to 0.8 p.u. and  $P_g$  from 0.5 p.u. to 1 p.u. by keeping terminal voltage  $V_t$ ,  $E_b$  at 1 p.u. The system performance is compared with a static AVR, with and without a power system stabilizer. Results of a few test cases are presented here. Here we consider  $X_e \leq 0.3$  as strong system,  $X_e \geq 0.6$  as weak system and  $0.3 < X_e < 0.6$  as nominal system.

Fig. 1 shows the responses of the nominal SMIB system ( $P_g + jQ_g = 1 + j0.2$  p.u.,  $V_t = 1$  p.u.,  $X_e = 0.4$  p.u.) in terms of the rotor angle  $\delta$  for a 0.1 p.u. step change in  $V_{\text{ref}}$ . The system is operated with (a) nonlinear AVR with  $K_v = 20$  (FBLAVR), (b) static AVR (linear AVR) and (c) static AVR with PSS (linear AVR + PSS). The system is unstable with linear AVR alone. The system becomes stable with FBLAVR and the performance is comparable to that of a linear AVR + PSS controller. It has been observed that the terminal voltage response with  $K_v = 20$  is almost close to the response obtained with linear AVR + PSS.

Fig. 2 shows the responses of the SMIB with the same operating conditions as above, following a  $3\phi$  fault at the transformer bus cleared after 50 ms by tripping one of the parallel

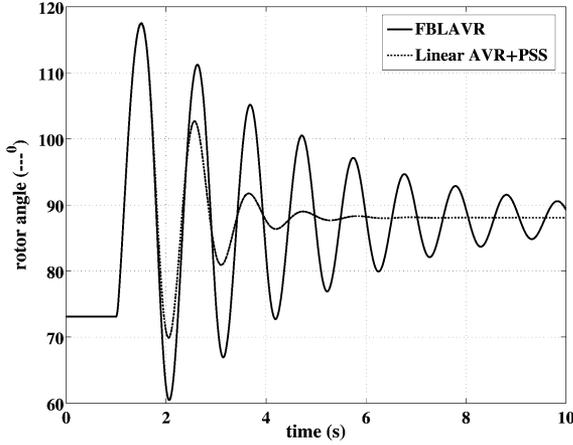


Fig. 2.  $\delta$  response,  $3\phi$  fault at transformer bus, cleared by line tripping.

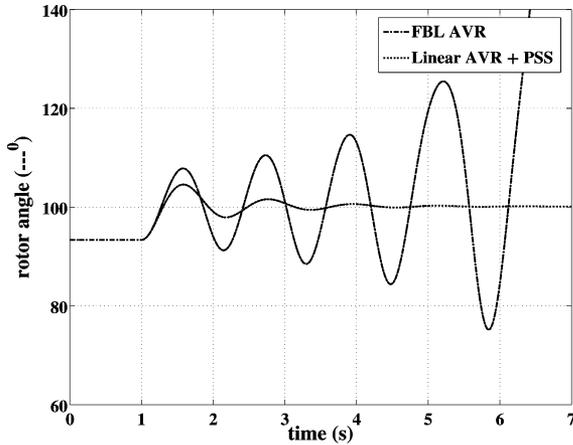


Fig. 3.  $\delta$  response, 10% step change in  $T_m$ , weak system.

lines. After the fault is cleared, the system becomes weak with an equivalent external reactance  $X_e = 0.7$  p.u. The system is more oscillatory with nonlinear AVR, whereas the performance of the linear AVR+PSS is much better. It has been observed that if the fault is cleared without line tripping, then the performance of this nonlinear AVR is much better than the linear AVR+PSS.

Fig. 3 shows the system responses for weak system ( $P_g + jQ_g = 1 + j0.5$  p.u.,  $X_e = 0.8$  p.u.,  $V_t = 1$  p.u.). The responses are shown for a 10% step change in  $T_m$ . In this case, the response of the system is unstable with the nonlinear AVR.

From several simulations, it has been observed that the performance of the nonlinear AVR proposed in [6] is better than or comparable to the performance of the linear AVR + PSS only under nominal and strong system conditions. Its performance is poor even for small disturbances under heavy loading and weak system conditions. By seeing the nonlinear control law, it is not possible to explain the reasons for this poor performance. We make an attempt to understand this behavior using the concepts of synchronizing and damping torques analysis in the following sections.

### B. Small-Signal Analysis

In order to understand the behavior of the nonlinear AVR at different operating conditions, the control law (9) is linearized

using the conventional Taylor series approximation. Linearization of (9) gives

$$\Delta E_{fd} = \frac{T'_{do}}{C_{33}V_{q0}} \left[ \begin{array}{c} -K_v(\Delta V_t - \Delta V_{ref})\sqrt{V_{ref}} + \\ \left( \begin{array}{c} V_{q0}C_{22}E_b \sin \delta_0 \omega_B \\ -V_{d0}C_{11}E_b \cos \delta_0 \omega_B \end{array} \right) \Delta S_m \\ + \Delta E'_q - (X_d - X'_d)\Delta i_d \end{array} \right] \quad (10)$$

Linearizing  $V_t$  and  $i_d$  gives [21]

$$\Delta V_t = K_5 \Delta \delta + K_6 \Delta E'_q \quad (11)$$

$$\Delta i_d = C_1 \Delta \delta + C_2 \Delta E'_q \quad (12)$$

where

$$\begin{aligned} K_5 &= -\frac{X_q V_{d0} E_b \cos \delta_0}{(X_q + X_e) V_{ref}} - \frac{X'_d V_{q0} E_b \sin \delta_0}{(X_e + X'_d) V_{t0}} \\ K_6 &= \frac{X_{te}}{X_e + X'_d} \frac{V_{q0}}{V_{t0}} \\ C_1 &= \frac{1}{\Lambda} [R_t V_{s0} \cos \delta_{s0} - (X'_q + X_t) V_{s0} \sin \delta_{s0}] \\ C_2 &= -\frac{1}{\Lambda} (X'_q + X_t) \\ \Lambda &= (X'_d + X_e)(X_q + X_e) + R_e^2 \end{aligned} \quad (13)$$

substituting (11) and (12) in (10) and rearranging the terms, one can arrive at (14) at the bottom of the next page, where  $\Gamma_1 = V_{q0} E_b \omega_B C_{22} \sin \delta_0$  and  $\Gamma_2 = V_{d0} E_b \omega_B C_{11} \cos \delta_0$ , and this can be rewritten as

$$\Delta E_{fd} = K_{FBL} \left[ \begin{array}{c} -G_5 \Delta \delta - G_6 \Delta E'_q + \Delta V_{ref} \\ + G_D \Delta S_m \end{array} \right] \quad (15)$$

Fig. 4 shows the block diagram representation of (15) which is same as the block diagram of a linear AVR [18] except for the additional component from speed deviations. From the block diagram, the output feedback linearization based AVR can be interpreted as a very fast exciter of negligible time constant and a high gain denoted by  $K_{FBL}$ . It has two components negatively affecting the torque angle loop, one due to the deviations in rotor angle  $\Delta \delta$  denoted by  $G_5$  and the other due to the deviations in flux linkages  $\Delta E'_q$  denoted by  $G_6$ . It also contains an additional component  $G_D$  due to the deviations in slip speed  $\Delta S_m$  as shown with dashed circle in Fig. 4. This component  $G_D$  contributes positively to the torque angle loop just like a power system stabilizer. The sign of  $G_D$  indicates whether it deteriorates or aids the system damping. The equivalent of  $G_5, G_6$  for linear AVR are denoted by  $K_5, K_6$  which are standard Hefron-Phillip's parameters of an SMIB system.

Variations of parameters  $G_5, G_6$ , and equivalent exciter gain  $K_{FBL}$  are plotted by varying generator power  $P_g$  from 0.5 p.u. to 1.1 p.u. for various values of  $X_e$  by keeping the terminal voltage  $V_t$  and infinite bus voltage  $E_b$  constant at 1 p.u. Figs. 5 and 6 show the variations of  $K_5, G_5$  and  $K_6, G_6$ , respectively, with  $P_g$ . Gain  $K_v$  of the nonlinear AVR is fixed at 20 so that the variations of  $G_5$  and  $G_6$  are almost the same as that of the linear

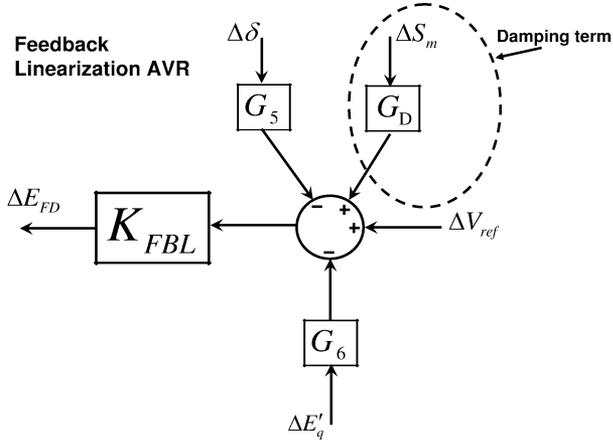
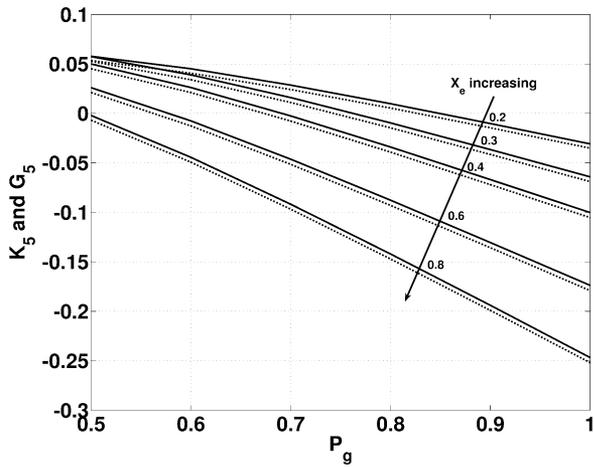


Fig. 4. Output feedback linearization based AVR.

Fig. 5. Variation of  $G_5, K_5$  with  $P_g$  for various values of  $X_e$ .

AVR parameters  $K_5$  and  $K_6$ . For all foreseeable operating conditions,  $K_6$  and  $G_6$  are always positive.  $K_5$  and  $G_5$  can be however positive or negative. This has significant impact on system stability.

Fig. 7 shows the variation of the damping term  $G_D$  with  $P_g$  for different values of  $X_e$ . It can be inferred that the damping contribution due to  $G_D$  would reduce with increase in loading as well as system equivalent reactance. The nonlinear AVR, therefore, may not have good damping performance under heavy loading as well as weak transmission conditions.

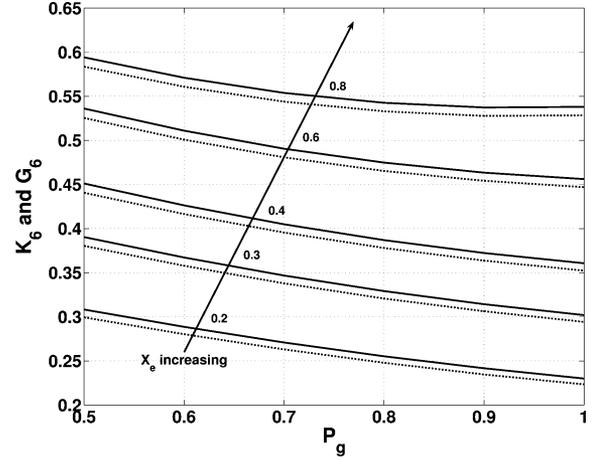
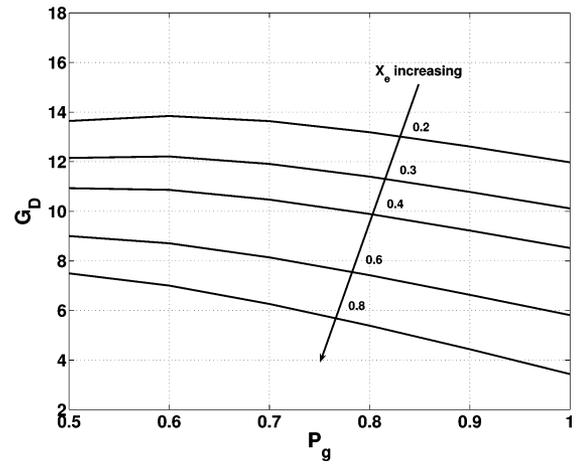
Fig. 6. Variation of  $G_6, K_6$  with  $P_g$  for various values of  $X_e$ .Fig. 7. Variation of  $G_D$  with  $P_g$  for various values of  $X_e$ .

Fig. 8 shows the variation of  $K_{FBL}$  with  $P_g$  and  $X_e$ . For  $X_e$  in the range of 0.2 to 0.8,  $K_{FBL}$  lies between 200 and 550 which falls in the usual range of the gain of linear AVR. It can be observed that as  $K_v$  appears in the denominator of  $G_D$  expression, increasing  $K_v$  reduces  $G_D$ . So the tuning parameter  $K_v$  can be varied only in a narrow range.

$$\Delta E_{fd} = \frac{T'_{do} K_v \sqrt{V_{t0}}}{C_{33} V_{q0}} \times \left\{ \begin{array}{l} - \left[ K_5 + \frac{(X_d - X'_d) V_{q0} C_{33} C_1}{T'_{do} K_v \sqrt{V_{t0}}} \right] \Delta \delta - \left[ \frac{C_{33} V_{q0}}{T'_{do} K_v \sqrt{V_{t0}}} + \frac{(X_d - X'_d) V_{q0} C_{33} C_2}{T'_{do} K_v \sqrt{V_{t0}}} + K_6 \right] \Delta E'_q \\ + \Delta V_{ref} + \underbrace{\frac{G_5}{K_v \sqrt{V_{t0}}}}_{G_D} \Delta S_m \end{array} \right\} \quad (14)$$

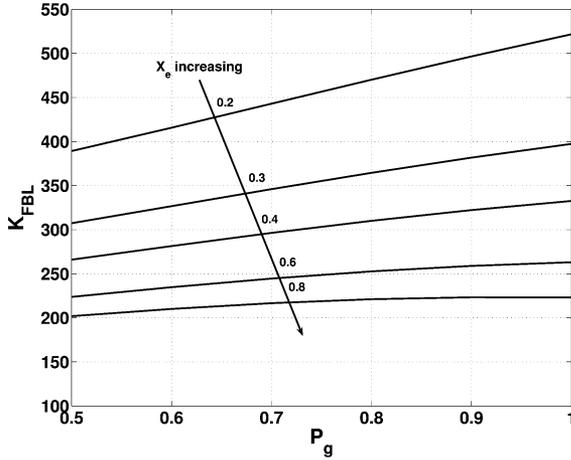


Fig. 8. Variation of  $K_{FBL}$  with  $P_g$  for various values of  $X_e$ .

### C. Synchronizing and Damping Torques Analysis

The block diagram of the SMIB system including nonlinear AVR is shown in Fig. 9. The component of electrical torque produced by voltage regulator action due to variations in rotor angle through  $E'_q$  can be expressed as

$$\Delta T_{e2} = \underbrace{\frac{K_2 K_3 [-K_4 - K_{FBL} G_5] \Delta \delta}{1 + s T'_{do} K_3 + K_3 K_{FBL} G_6}}_{T_{elec1}} + \underbrace{\frac{K_2 K_3 G_D \Delta S_m}{1 + s T'_{do} K_3 + K_3 K_{FBL} G_6}}_{T_{elec2}}. \quad (16)$$

The first component  $T_{elec1}$  can be treated as the torque that would have been produced by a static AVR with a gain equal to  $K_{FBL}$  and low time constant, and this component is referred as linear part. The second component  $T_{elec2}$  can be considered as the torque produced by  $G_D$  due to the nonlinear AVR action, and this component is referred to as the nonlinear part. The system matrix with nonlinear voltage regulator can be obtained as

$$A_{FBL} = \begin{bmatrix} 0 & \omega & 0 \\ \frac{-K_1}{2H} & \frac{-D}{2H} & \frac{-K_2}{2H} \\ \frac{-(K_4 + K_{FBL} G_5)}{T'_{do}} & \frac{(K_{FBL} G_D)}{T'_{do}} & \frac{-(\frac{1}{K_3} + \frac{K_{FBL} G_6}{T'_{do}})}{T'_{do}} \end{bmatrix}. \quad (17)$$

Substituting  $s = a + jb$  (complex eigenvalue corresponding to the rotor mode of system matrix  $A_{FBL}$ ) and  $\Delta S_m = (s \Delta \delta) / (\omega_o)$  one can obtain the following expressions for synchronizing and damping torque coefficients [22]:

$$T_S = \underbrace{\text{Re}\{T_{elec1}\} + \left(\frac{-a}{b}\right) \text{Im}\{T_{elec1}\}}_{\text{Linear Part}} + \underbrace{\text{Im}\{T_{elec2}\} \left(\frac{-a^2}{b \omega_o} - \frac{b}{\omega_o}\right)}_{\text{Nonlinear Part}} \quad (18)$$

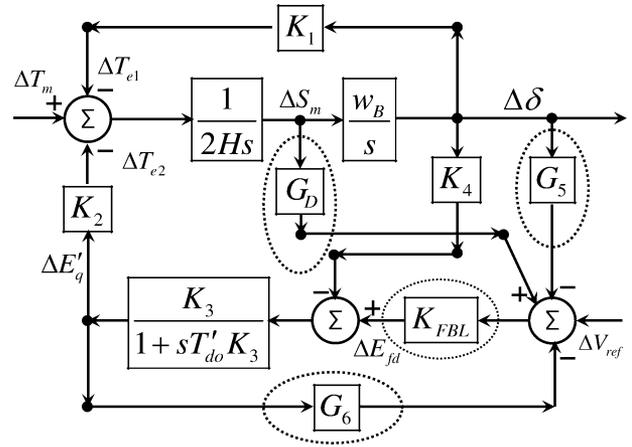


Fig. 9. Heffron Phillip's model including feedback linearization nonlinear AVR.

$$T_D = \underbrace{\text{Im}\{T_{elec1}\} \frac{\omega_o}{b}}_{\text{Linear Part}} + \underbrace{\text{Re}\{T_{elec2}\} + \text{Im}\{T_{elec2}\} \frac{a}{b}}_{\text{Nonlinear Part}}. \quad (19)$$

Total synchronizing torque coefficient can be obtained by adding  $K_1$  to (18).  $K_2$  and  $K_4$  are always positive and for the conceivable range of operating conditions,  $G_6$  is positive as can be seen in Fig. 6.  $G_5$ , on the other hand, can be positive or negative depending upon the system condition as shown in Fig. 5. If  $G_D = 0$ , (18) and (19) reduce to the expression for the synchronizing and damping torque coefficients of a very fast linear voltage regulator with gain  $K_A = K_{FBL}$  and time constant  $T_A = 0$ . In this case, the following conclusions drawn in [18] are valid.

- When  $G_5$  is negative, synchronizing torque coefficient  $T_S$  is positive, which is beneficial.
- when  $G_5$  is positive,  $T_S$  is negative. This is of little consequence, as under such conditions,  $K_1$  is large positive and the total synchronizing torque remains positive.
- The damping torque coefficient  $T_D$  is positive whenever  $G_5$  is positive, but for a large number of cases,  $G_5$  is negative and introduces negative damping.

Fig. 10 shows  $T_S$  due to linear part. Observe that  $T_S$  increases with increase in  $X_e$  and  $P_g$ . It can be understood that under strong system and light loading conditions, linear AVR fails due to lack of synchronizing torque. Fig. 11 shows the  $T_D$  due to linear part, which decreases with increase in  $X_e$  and  $P_g$  and eventually becomes negative when  $G_5$  becomes negative. So linear AVR fails under heavy loading and weak system conditions due to negative damping torque. Hence, it is essential for any stabilizing controller to provide more damping torque under heavy loading and weak system conditions. If  $K_1$  is not sufficiently large, the stabilizing controller should also provide more synchronizing torque under strong system and light loading conditions.

Figs. 12 and 13 show the variation of synchronizing and damping torque components contributed from the nonlinear part, i.e., due to the  $G_D$  component alone. Observe that both

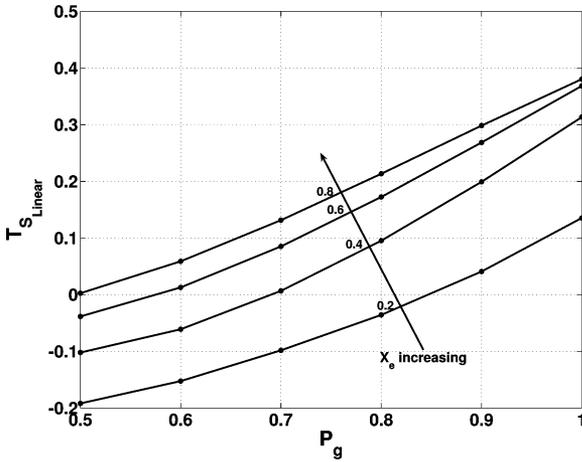


Fig. 10.  $T_S$  contribution due to linear part of controller proposed in [6].

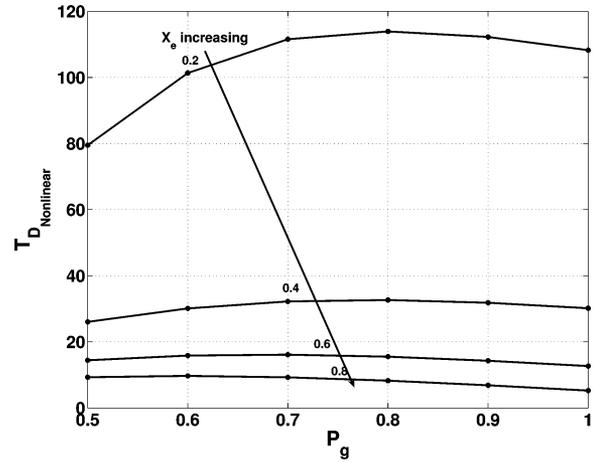


Fig. 13.  $T_D$  contribution due to nonlinear part of controller proposed in [6].

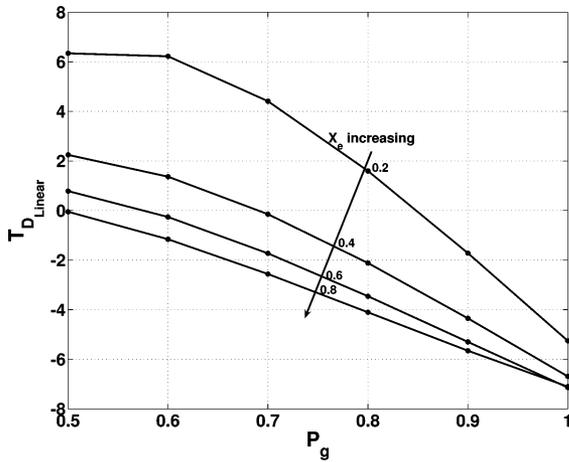


Fig. 11.  $T_D$  contribution due to linear part of controller proposed in [6].

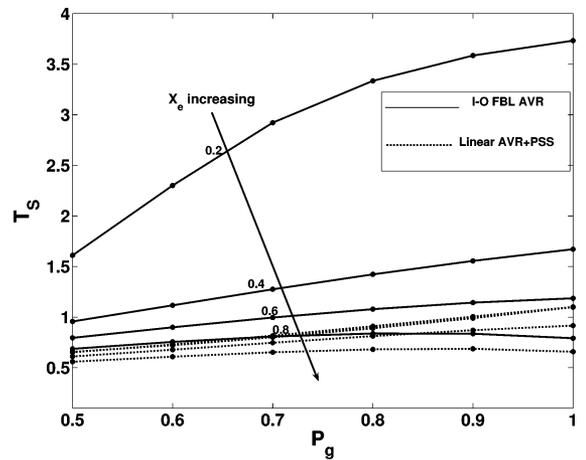


Fig. 14. Total  $T_S$  contribution of controller proposed in [6] and linear AVR + PSS.

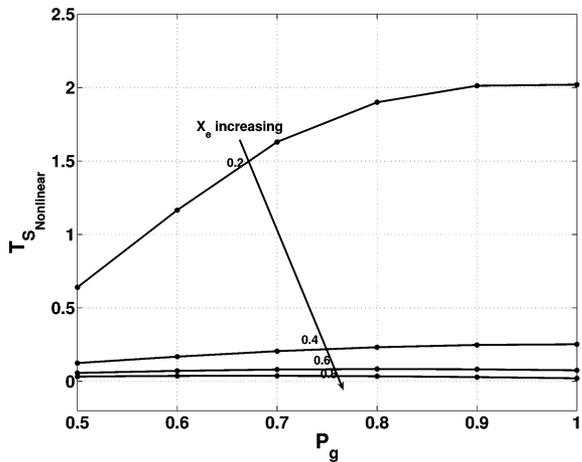


Fig. 12.  $T_S$  contribution due to nonlinear part of controller proposed in [6].

the torque components are positive over the whole range of operating and system conditions and they decrease with the increase in  $X_e$ . Their variation is not very significant with change in  $P_g$  except under strong system conditions. Higher

values of  $T_S$  under strong system conditions is a desirable feature. The positive  $T_S$  values over the whole range indicates that the  $G_D$  component always aids the total  $T_S$ . However in Fig. 13, the damping torque under weak system conditions is very less and may not be sufficient to counteract the negative damping contribution from the linear part.

Fig. 14 shows the total synchronizing torque contribution from Input-Output FBLAVR and linear AVR + PSS. The behavior of this nonlinear AVR is similar to the linear AVR + PSS, i.e.,  $T_S$  decreases with  $X_e$ . It can be observed that total  $T_S$  contribution from this nonlinear AVR is much higher than the linear AVR + PSS. Similarly, Fig. 15 shows the comparison of total  $T_D$ 's. Here also the behavior is the same as linear AVR + PSS; however, the total  $T_D$  contribution of nonlinear AVR is not very satisfactory when compared with the linear AVR + PSS except for  $X_e = 0.2$  p.u. Observe that total  $T_D$  becomes negative for  $X_e = 0.8$  p.u. and  $P_g \geq 0.9$  p.u. These results are consistent with the simulation results. This explains the reason for the poor performance of this nonlinear AVR under heavy loading and weak system conditions.

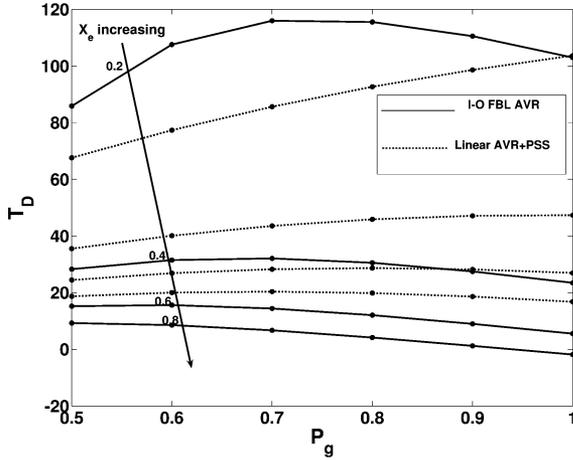


Fig. 15. Total  $T_D$  contribution of controller proposed in [6] and linear AVR + PSS.

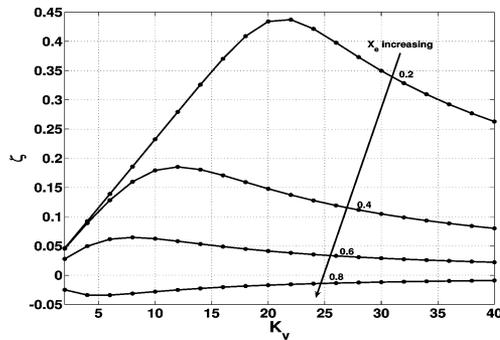


Fig. 16. Variation of damping factor  $\zeta$  with  $K_v$  for various value of  $X_e$ .

Now we analyze the damping factor  $\zeta$  obtained from the total  $T_S$  and  $T_D$  using the following expression [22]:

$$\zeta = \frac{1}{2} \frac{T_D}{\sqrt{2H\omega_B T_S}}. \quad (20)$$

Fig. 16 shows the variation of  $\zeta$  with increase in  $K_v$  for various values of external reactance  $X_e$  by keeping the real power and terminal voltage constant. It can be observed that for each  $X_e$  value, the damping factor increases with increase in  $K_v$ , reaches maximum for some  $K_v$ , and then starts decreasing. The value of  $K_v$  at which maximum damping occurs decreases with increase in  $X_e$ . For the operating condition  $P_g + jQ_g = 1 + j0.2$  p.u.,  $V_t = 1$  p.u., and  $X_e = 0.4$  p.u., a maximum damping factor  $\zeta_{\max} = 0.18525$  can be achieved with  $K_v = 12$ . Observe that  $\zeta$  is not satisfactory ( $\zeta < 0.15$ ) for  $X_e \geq 0.6$  and becomes negative as  $X_e \rightarrow 0.8$ .

From the simulation results and the above observations, it can be inferred that the performance of the nonlinear regulator based on output feedback linearization proposed in [6] is always better than that of a linear AVR for the entire operating range. The performance of this nonlinear AVR is comparable to that of a linear AVR + PSS controller only over a limited range of operation. So the nonlinear AVR proposed in [6] may not be able to replace the linear AVR + PSS structure under high loads and weak system conditions because of the negative damping effect.

#### IV. NONLINEAR VOLTAGE REGULATOR PROPOSED IN [7]

A new formulation for designing a voltage regulator for SMIB system was proposed in [7] using the third-order model for the synchronous machine. Nonlinear control law in [7] is derived so as to cancel the nonlinearities in the derivative of the generator active power output. A robust controller is then designed for the new input taking  $V_t$ ,  $S_m$ , and  $P_g$  as state variables. This is a full state feedback controller. The active power output of SMIB system is given by

$$P_g = \frac{E'_q E_b \sin \delta}{X_q + X_e}. \quad (21)$$

Taking derivative of  $P_g$

$$\dot{P}_g = \frac{E_b \sin \delta}{X_q + X_e} \dot{E}'_q + \frac{E'_q E_b \cos \delta}{X_q + X_e} \dot{\delta} \quad (22)$$

after rearrangement, one can get

$$\dot{P}_g = -\frac{P_g}{T'_{do}} + \frac{1}{T'_{do}} \left\{ I_q [E_{fd} + (X_d - X_q) I_d] \right. \\ \left. + T'_{do} \frac{E'_q E_b \cos \delta}{X_q + X_e} \omega_B S_m \right\} \quad (23)$$

define a new input  $V_f$  as

$$V_f = I_q [E_{fd} + (X_d - X_q) I_d] \\ + T'_{do} \frac{E'_q E_b \cos \delta}{X_q + X_e} \omega_B S_m - P_m. \quad (24)$$

This input cancels the nonlinearities in  $\dot{P}_g$  and makes it linear as follows:

$$\dot{P}_g = -\frac{P_g - P_m}{T'_{do}} + \frac{1}{T'_{do}} V_f. \quad (25)$$

Solving for field voltage  $E_{fd}$ , one can get the nonlinear control law as

$$E_{fd} = \frac{1}{I_q} \left[ V_f - T'_{do} \frac{E'_q E_b \cos \delta}{X_q + X_e} \omega_B S_m + P_m \right] \\ - (X_d - X_q) I_d. \quad (26)$$

New input  $V_f$  is designed by robust control technique. The following state-space equation has been used for this, which is formulated by taking the derivative of terminal voltage

$$\dot{x} = Ax + BV_f \quad (27)$$

where

$$A = \begin{bmatrix} 0 & f_1 & -f_2 \\ 0 & \frac{-D}{2H} & -\frac{\omega_B}{2H} \\ 0 & 0 & -\frac{1}{T'_{do}} \end{bmatrix}; \quad B = \begin{bmatrix} \frac{f_2}{T'_{do}} & 0 & \frac{1}{T'_{do}} \end{bmatrix}$$

$$f_1 = \frac{P_g^2 X_e^2 \cos \delta}{V_t E_b^2 \sin^3 \delta} - \frac{X_e X_q P_g}{V_t (X_q + X_e) \sin^2 \delta}$$

$$f_2 = \frac{P_g X_e^2}{V_t E_b^2 \sin^2 \delta} + \frac{X_e X_q \cos \delta}{V_t (X_q + X_e) \sin \delta}.$$

The final form of  $V_f$  is as follows, which is obtained by solving an algebraic Riccati equation whose details are skipped here [7]:

$$V_f = -K_V \Delta V_t - K_w \omega_B \Delta S_m - K_p \Delta P_g. \quad (28)$$

The final control law for voltage regulation is given by

$$E_{fd} = \frac{1}{I_q} \begin{bmatrix} -K_V \Delta V_t - K_w \omega_B \Delta S_m - K_p \Delta P_g \\ -T'_{do} \frac{E'_q E_b \cos \delta}{X_q + X_e} \omega_B S_m + P_m \\ -(X_d - X_q) I_d \end{bmatrix}. \quad (29)$$

Note that in [7], the expression  $(E'_q E_b \cos \delta)/(X_q + X_e)$  is replaced with  $(Q_g + (E'_b)^2)/(X_q + X_e)$  to eliminate  $\delta$  measurement. However, this is valid only if  $V_t = E_b$ . So we have not used this change in the present analysis.

#### A. Small Signal Analysis

The nonlinear control law (29) is linearized around an operating condition to analyze the small-signal behavior. Linearizing (29) gives (30) at the bottom of the page. Note that in per unit quantities,  $\Delta P_g = \Delta T_e$  (torque). The linearized equations of  $\Delta P_g$  and  $\Delta I_q$  are as follows [21]:

$$\Delta P_g = K_1 \Delta \delta + K_2 \Delta E'_q \quad (31)$$

$$\Delta I_q = C_3 \Delta \delta + C_4 \Delta E'_q \quad (32)$$

where

$$C_3 = \frac{1}{\Lambda} [(X'_d + X_e) E_b \cos \delta_o + R_e E_b \sin \delta_o]$$

$$C_4 = \frac{R_e}{\Lambda}. \quad (33)$$

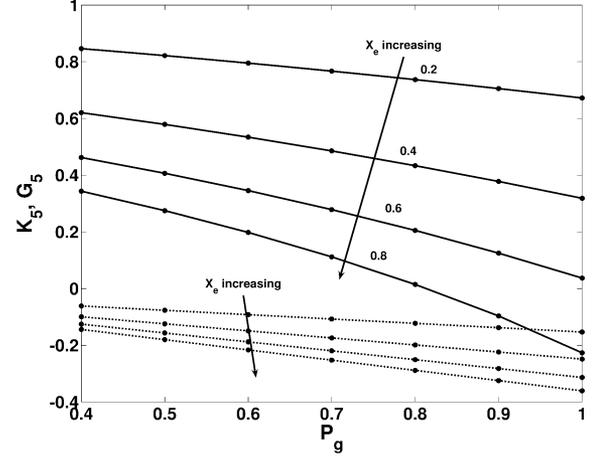


Fig. 17. Variation of  $G_5$ ,  $K_5$  with  $P_g$  for the nonlinear AVR proposed in [7].

Substituting (12), (32), (11) and (31) in (30), one can get (34) at the bottom of the page. Observe that this equation is again in the same form as (15) and the block diagram of this nonlinear controller is also the same as the previous case. Any controller, whether linear or nonlinear, should have a feedback from speed to produce a damping torque on the system. So one can generalize the block diagram shown in Fig. 4 for any nonlinear voltage regulator. Synchronizing and damping torques analysis can be applied to understand the small-signal behavior over a wide range of operating and system conditions. Here the analysis is carried out using the same SMIB system used in [7], and the comparison is made with the static AVR + PSS whose parameters are given in [7].

Fig. 17 shows the variation of  $K_5$  and  $G_5$  with  $P_g$  for various values of  $X_e$ . The dotted lines correspond to  $K_5$  and solid lines correspond to  $G_5$ . Observe that the  $G_5$  variation is positive for most of the operating conditions and it is far away from  $K_5$

$$\Delta E_{fd} = \frac{1}{I_{qo}} \begin{bmatrix} -K_V \Delta V_t + K_V \Delta V_{ref} - K_w \omega_B \Delta S_m - K_p \Delta P_g \\ -T'_{do} \frac{E'_{qo} E_b \cos \delta_o}{X_q + X_e} \omega_B \Delta S_m - E'_{qo} \Delta I_q \end{bmatrix} - (X_d - X_q) \Delta I_d \quad (30)$$

$$\Delta E_{fd} = \frac{K_V}{I_{qo}} \left\{ \begin{array}{l} - \underbrace{\left[ K_5 + \frac{K_p}{K_V} K_1 + \frac{C_3 E'_{qo}}{K_V} + \frac{(X_d - X_q) C_1 I_{qo}}{K_V} \right]}_{G_5} \Delta \delta \\ + \underbrace{\left[ -\frac{K_w}{K_V} - T'_{do} \frac{E'_{qo} E_b \cos \delta_o}{(X_q + X_e) K_V} \right]}_{G_D} \omega_B \Delta S_m \\ - \underbrace{\left[ K_6 + \frac{K_p}{K_V} K_2 + \frac{C_4 E'_{qo}}{K_V} + \frac{(X_d - X_q) C_2 I_{qo}}{K_V} \right]}_{G_6} \Delta E'_q \\ + \Delta V_{ref} \end{array} \right\} \quad (34)$$

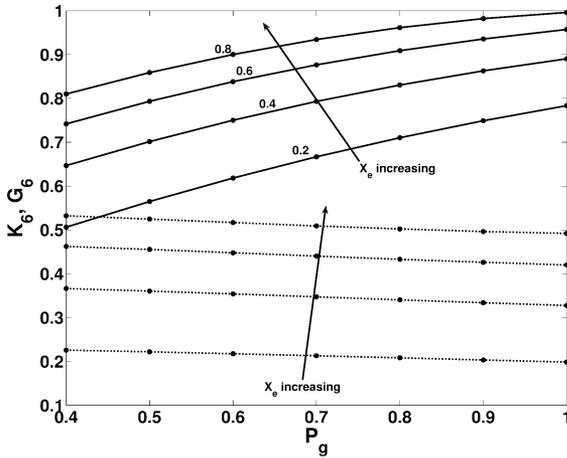


Fig. 18. Variation of  $G_6, K_6$  with  $P_g$  for the nonlinear AVR proposed in [7].

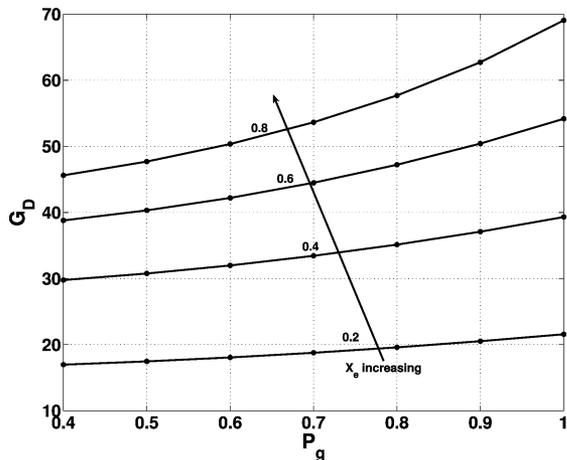


Fig. 19. Variation of  $G_D$  with  $P_g$  for nonlinear AVR proposed in [7].

variation. One may expect reduction of  $T_S$  due to positive  $G_5$  but this rescues the negative damping effect. One can observe similar behavior in the variation of  $G_6$  when compared to  $K_6$  as shown in Fig. 18. Though the increase in  $G_6$  increases damping torque, it is not very significant. It has been observed that the variation of equivalent exciter gain  $K_{FBL}$  is not very significant with change in  $X_e$  but it decreases considerably from 110 to 50 with increase in  $P_g$ . Reduction of the gain reduces  $T_S$  [22].

Fig. 19 shows the variation of  $G_D$ . Observe that the variation of  $G_D$  with this nonlinear AVR increases with increase in  $X_e$  and  $P_g$  which is exactly opposite to the controller studied in the previous section. This is a desirable feature as the linear AVR + PSS generally fails under weak system condition and heavy loading. So one can expect good damping torque contribution from this nonlinear AVR.

*B. Synchronizing and Damping Torques Analysis*

For this controller, also the  $T_S$  and  $T_D$  are computed at the rotor mode eigenvalues obtained from the system matrix  $A_{FBL}$  using (18) and (19) by varying  $P_g$  and  $X_e$ . Here, also the contribution due to linear part and nonlinear part are separately analyzed but the figures are not shown here due to space limitations.

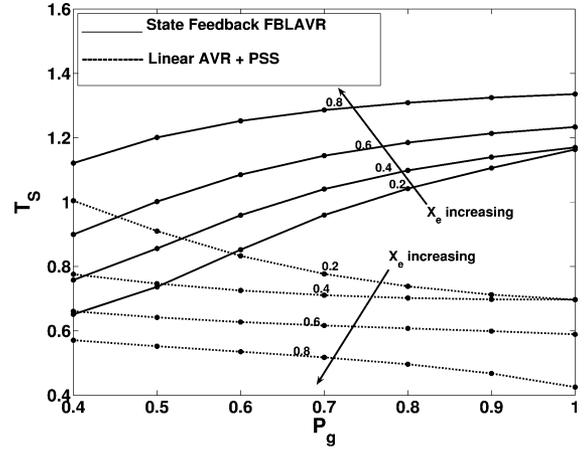


Fig. 20. Total  $T_S$  contribution of controller proposed in [7] and linear AVR + PSS.

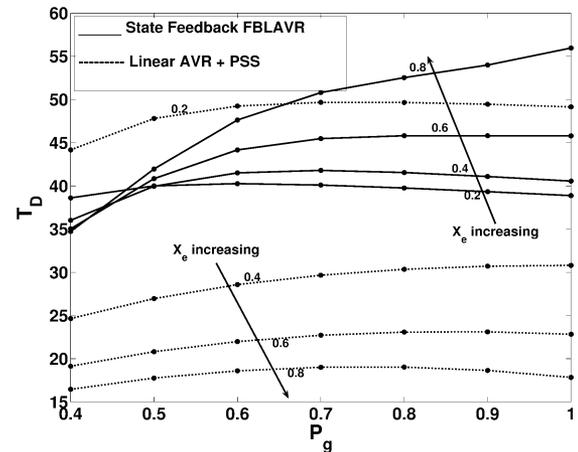


Fig. 21. Total  $T_D$  contribution of controller proposed in [7] and linear AVR + PSS.

It has been observed that for this controller, also the variation of  $T_S$  and  $T_D$  due to linear part are consistent with the conclusions of [18]. However,  $T_S$  and  $T_D$  due to nonlinear part are positive over the whole operating range and they increase with increase in  $X_e$  and loading, which is just opposite to the behavior of the previous controller. This is an essential feature expected to provide good damping to the system over a wide range of operating and system conditions. Though [7] claims that this controller is robust within  $X_L + X_T \leq X_e \leq 2X_L + X_T$ , this analysis shows that the controller is robust in much wider range  $0.2 \leq X_e \leq 0.8$ , which is the usual range one may expect for the external reactance.

Figs. 20 and 21 show the comparison of the total  $T_S, T_D$  produced by this nonlinear controller with the total  $T_S, T_D$  produced by linear AVR+PSS given in [7]. The solid lines referred as state feedback FBLAVR corresponds to the nonlinear AVR and dotted lines correspond to the linear AVR + PSS. Observe that the  $T_S, T_D$  variations of this nonlinear AVR are exactly opposite to the variation of linear AVR+PSS. The total  $T_D$  contribution increases with increase in loading and  $X_e$ . Fig. 22 shows the variation of damping factor  $\zeta$  with  $P_g$  for various values of  $X_e$ . For this controller,  $\zeta$  is not plotted against the gains of

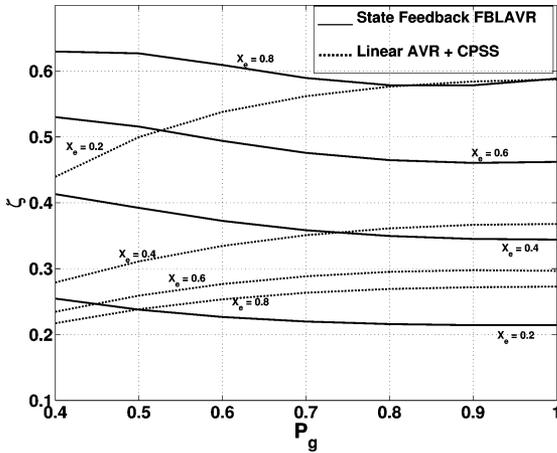


Fig. 22. Variation of damping factor  $\zeta$  with  $P_g$  for various value of  $X_e$ .

the controller as the gains are not tunable arbitrarily and they need to be obtained by solving algebraic equation. It can be observed that the damping ratio increases with increase in  $X_e$  and remains constant with loading above 0.7 p.u. Hence, one can expect better small signal performance due to the nonlinear AVR proposed in [7] under weak system and heavy loading conditions where the linear AVR + PSS generally fails. These observations have been verified by extensive simulation studies.

This analysis gives an insight that the controller proposed in [7] overcomes all the drawbacks of a linear AVR + PSS and can replace the conventional AVR + PSS structure over the entire range of operating and system conditions for the SMIB test system considered. The results of the controller proposed in [6] show the need for small signal performance assessment of the nonlinear controllers. As the synchronizing and damping torques approach is more general, it can be possibly extended to multimachine systems as well. However, due to the presence of multimodal oscillations in such systems, the validity of the proposed approach of analysis needs to be established by appropriate simulation studies on real interconnected systems.

## V. CONCLUSION

This paper analyzes the performance of two different feedback linearization based nonlinear voltage regulators proposed in [6] and [7] for a single machine infinite bus system. The synchronizing and damping torques analysis has been shown to be effective in quantifying the merits and demerits of replacing the existing AVR + PSS structure with nonlinear voltage regulators in an SMIB system over a wide range of system and operating conditions. This paper gives a new insight on understanding the physical impact of complex nonlinear control laws on power system dynamics. It has been shown that the performance of the nonlinear AVR proposed in [6] is always better than that of a conventional static AVR system. Its performance is also comparable with that of a static AVR + PSS over a limited range of operating conditions. The small signal stability performance is however poor, especially under weak system conditions and cannot replace the AVR+PSS structure for the entire operating range. In the case of nonlinear voltage regulator proposed in [7], the synchronizing and damping torques analysis has shown that the performance of this regulator is much better than that of a

conventional AVR + PSS over the entire range of operating and system conditions. This shows the necessity of analyzing small signal performance of nonlinear voltage regulators. The proposed method of analysis based on computation of synchronizing and damping torques is thus useful in analyzing the small signal performance of nonlinear voltage regulators in single machine infinite bus systems.

## APPENDIX VARIABLES DEFINITIONS

|                      |   |
|----------------------|---|
| $\omega, \omega_B$   | Angular speed and base speed.                         |
| $\delta$             | Rotor angle.  |
| $S_m$                | Slip speed = $(\omega - \omega_B)/(\omega_B)$ .       |
| $T_{mech}, T_{elec}$ | Mechanical and electrical torques, respectively.      |
| $D$                  | Damping coefficient.                                  |
| $E'_q$               | Transient induced voltage due to field flux-linkages. |
| $i_d, i_q$           | d-axis and q-axis components of stator current.       |
| $T'_{do}$            | d-axis time constant.                                 |
| $X_d, X'_d$          | d-axis reactances,                                    |
| $X_q, X'_q$          | q-axis reactances.                                    |
| $E_{fd}$             | Field voltage.  |
| $V_t \angle \theta$  | Voltage measured at the generator terminal.           |
| $V_{ref}$            | Reference voltage.                                    |
| $V_{PSS}$            | PSS input.  |
| $R_a$                | Armature resistance,                                  |
| $X_t, X_L$           | Transformer and transmission line reactances.         |
| $V_d, V_q$           | d and q-axis components of terminal voltage.          |
| $K_A, T_A$           | Gain and time constants of static excitation system.  |
| $H$                  | Inertia constant of machine.                          |
| $P_g, Q_g$           | Real and reactive power.                              |
| $E_b$                | Infinite bus voltage.                                 |

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